



PTO/SB/17 (6-95)(modified)

Approved for use through 11/30/96, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) & TRADEMARK Department of Commerce
Rev. 10/95 Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$.00)**

Complete if Known

Application Number	09/240,919
Filing Date	January 29, 1999
First Named Inventor	Alex E. Henderson
Group Art Unit	2731
Examiner Name	Not Yet Known
Attorney Docket Number	3625

RECEIVED

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METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to:

- Charge the indicated fees to the below mentioned deposit account.
- Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.¹
- Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. Payment Enclosed:

- Check Other

FEE CALCULATION (fees effective 11/12/98)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/\$760	201/\$380	Utility Filing	<input type="text"/>
106/\$310	206/\$155	Design Filing	<input type="text"/>
108/\$760	208/\$380	Reissue Filing	<input type="text"/>
114/\$150	214/\$75	Provisional Filing	<input type="text"/>
SUBTOTAL (1)		(\$.00)	

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/\$18	203/\$9	Claims in excess of 20 ²
102/\$78	202/\$39	Independent claims in excess of 20 ²
104/\$260	204/\$130	Multiple dependent claim
109/\$78	209/\$39	Reissue independent claims over original patent
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Date
105/\$130	205/\$65	Surcharge - late filing fee or oath	JUN 07 1999 Fee Due
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	Group 2700
147/\$2,520	147/\$2,520	For filing a request for reexamination	RECEIVED
115/\$110	215/\$55	Extension for response within first month ¹	MAY 12 1999
116/\$380	216/\$190	Extension for response within second month ¹	Group 2700
117/\$870	217/\$435	Extension for response within third month ¹	RECEIVED
118/\$1,360	218/\$680	Extension for response within fourth month ¹	Group 2700
128/\$1,850	228/\$925	Extension for response within fifth month ¹	RECEIVED
119/\$300	219/\$150	Notice of Appeal	RECEIVED
141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	APR 23 1999
142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	Group 2700
143/\$430	243/\$215	Design Issue Fee	RECEIVED
122/\$130	122/\$130	Petitions to the Commissioner	Group 2700
123/\$50	123/\$50	Petitions related to provisional applications	RECEIVED
126/\$240	126/\$240	Submission of Information Disclosure Statement	Group 2700
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	RECEIVED
146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	APR 23 1999
149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	Group 2700

(Col. 1) For	(Col. 2) No. of Existing Claims minus*	(Col. 3) Highest No. Previously Paid For =	Extra** Fee x	Fee Due
TOTAL	20 or	=		
INDEP	3 or	=	x	

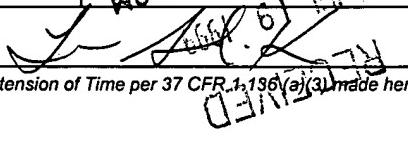
[] First presentation of multiple dependent claim

Subtract the greater number of Col. 2
If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$0.00)

SUBMITTED BY

-Typed or Printed Name **Trinidad Arriola-Kern**

Signature 

Request for Extension of Time per 37 CFR 1.136(a)(3) made hereby

Complete (if applicable)

Reg. Number **44,012**

Date **4/8/99**

MAIL ROOM

669 12

13/12



PATENT

**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

APPLICANT(S):	Alex E. Henderson
SERIAL NO.:	09/240,919
FILING DATE:	January 29, 1999
TITLE:	System and Method
EXAMINER:	Not Yet Known
GROUP ART UNIT:	2731
ATTY. DKT. NO.:	3625

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in envelope addressed to: Assistant Commissioner For Patents, Washington D.C. 20231, on the date shown below:

Dated: 4/8/99

By: L. M. K.
Trinidad Arriola-Kern, Reg. No.: 44,012

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

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APR 23 1999

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.59(g)(2) 2700

Group 2700

SIR.

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application and with respect to which there may be a duty to disclose.

MAY 21 1999

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

to patentability, or that no other material information against interest in any manner.

6661 S-907

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. 60/095,825, filed on August 7, 1998, and the references

cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;
OR
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
 - (1) a final action under 37 CFR §1.113; OR
 - (2) a notice of allowance under 37 CFR §1.311; AND
 - attached hereto is the fee of \$240, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- OR
- before the payment of the issue fee but after the mailing date of the first to occur of either:
 - [1] a final action under 37 CFR § 1.113; OR
 - [2] a notice of allowance under 37 CFR § 1.311; AND

in accordance with the requirements of 37 CFR § 1.97(d):

- Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- Applicant submits the petition fee of \$130 as set forth in 37 CFR § 1.17(i).

- Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ALEX E. HENDERSON AND
WALTER E. CROFT

Dated: 4/8/99

By 
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